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Algebraic Cayley differential space-time codes

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California Institute of Technology

Sequences and Codes, Vancouver, July 17th 2006

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Cayley codes

Outline

Space-Time Coding Differential Space-Time Coding Cayley codes Code construction Algebraic Cayley codes Division algebras



Space-Time Coding

Cayley codes

Algebraic Cayley codes

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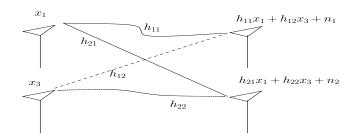
Algebraic Cayley differential space-time codes

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Space-Time Coding



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Algebraic Cayley differential space-time codes

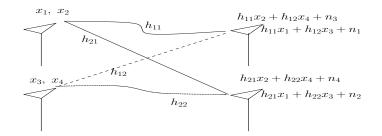
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Space-Time Coding



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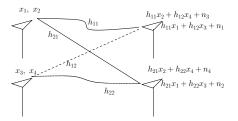


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Space-Time Coding: the model

$$\mathbf{Y} = \begin{pmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{pmatrix} \begin{pmatrix} x_1 & x_2 \\ x_3 & x_4 \end{pmatrix} + \mathbf{W}, \ \mathbf{W}, \ \mathbf{H} \text{ complex Gaussian}$$



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The code design

The goal is the design of the codebook C:

$$\mathcal{C} = \left\{ \mathbf{X} = \left(\begin{array}{cc} x_1 & x_2 \\ x_3 & x_4 \end{array} \right) | x_1, x_2, x_3, x_4 \in \mathbb{C} \right\}$$

the x_i are functions of the information symbols.

► The *pairwise probability of error* of sending X and decoding X̂ ≠ X is upper bounded by

$$P(\mathbf{X}
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Algebraic Cayley differential space-time codes

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Coherent vs noncoherent MIMO channel

Let us assume the receiver knows the channel (which is called *coherent* case). Then we have

$$P(\mathbf{X} \rightarrow \hat{\mathbf{X}}) = P(\|\mathbf{H}\mathbf{X} - \mathbf{Y}\| \ge \|\mathbf{H}\hat{\mathbf{X}} - \mathbf{Y}\|)$$

- Assume now the receiver does not know the channel (which is called noncoherent case).
- How to do decoding?

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The differential noncoherent MIMO channel

► We use differential unitary space-time modulation. that is (assuming S₀ = I)

$$S_t = X_{z_t} S_{t-1}, t = 1, 2, \dots,$$

where $z_t \in \{0, \dots, L-1\}$ is the data to be transmitted, and $C = \{\mathbf{X}_0, \dots, \mathbf{X}_{L-1}\}$ the constellation to be designed.

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The decoding

If we assume the channel is roughly constant, we have

$$\begin{aligned} \mathbf{Y}_t &= \mathbf{S}_t \mathbf{H} + \mathbf{W}_t \\ &= \mathbf{X}_{z_t} \mathbf{S}_{t-1} \mathbf{H} + \mathbf{W}_t \\ &= \mathbf{X}_{z_t} (\mathbf{Y}_{t-1} - \mathbf{W}_{t-1}) + \mathbf{W}_t \\ &= \mathbf{X}_{z_t} \mathbf{Y}_{t-1} + \mathbf{W}_t'. \end{aligned}$$

► The matrix **H** does *not* appear in the last equation.

▶ The decoder is thus given by

$$\hat{z}_t = \arg\min_{l=0,\ldots,|\mathcal{C}|-1} \|\mathbf{Y}_t - \mathbf{X}_l \mathbf{Y}_{t-1}\|.$$

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Probability of error

At high SNR, the *pairwise probability of error* P_e has the upper bound

$${{{P}_{e}}} \le {\left({rac{1}{2}}
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ho }}
ight)^{MN}rac{1}{{{\left| {\det ({{f X}_{i}} - {f X}_{j}})}
ight|^{2N}}}$$

► The quality of the code is measure by the *diversity product*

$$\zeta_{\mathcal{C}} = \frac{1}{2} \min_{\mathbf{X}_i \neq \mathbf{X}_j} |\det(\mathbf{X}_i - \mathbf{X}_j)|^{1/M} \qquad \forall \ \mathbf{X}_i \neq \mathbf{X}_j \in \mathcal{C}$$

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Problem statement

▶ Find a set C of *unitary* matrices $(XX^{\dagger} = I)$ such that

$$\det(\mathbf{X}_i - \mathbf{X}_j) \neq 0 \qquad \forall \ \mathbf{X}_i \neq \mathbf{X}_j \in \mathcal{C}$$

▶ Find a way of *encoding* and *decoding* these matrices.

Algebraic Cayley differential space-time codes

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Code construction		

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Cayley codes Code construction

Algebraic Cayley codes Division algebras

Algebraic Cayley differential space-time codes

The Cayley transform

- Let A be an Hermitian matrix, that is $A^{\dagger} = A$.
- Its Cayley transform is given by

$$V = (\mathbf{I} + iA)^{-1}(\mathbf{I} - iA).$$

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Encoding a Cayley code

- Let $\alpha_1, \ldots, \alpha_Q \in S \subset \mathbb{R}$ be the information symbols.
- Let A_1, \ldots, A_Q be a basis of Q Hermitian matrices.

• Encode the α_i 's in A:

$$A = \sum_{q=1}^{Q} \alpha_q A_q.$$

► Compute

$$V = (I + iA)^{-1}(I - iA).$$

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Design criteria for Cayley codes

▶ *Recall* we want det $(V_i - V_j) \neq 0$, which is equivalent to ask

$$\det(A_i - A_j) \neq 0, \ i \neq j,$$

where A_i are *Hermitian*.

► The rate of the code is

$$\frac{Q}{M}\log|\mathcal{S}|.$$

Algebraic Cayley differential space-time codes

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Previous Cayley codes

- Cayley codes were introduced by Hassibi and Hochwald.
- They are available at *high rate*.
- The diversity criterion was replaced by an information theoretical criterion.
- Cayley codes can be efficiently decoded (*linearized Sphere Decoder*).
- One drawback: *heavy optimization* is required for each number of antennas and each rate.

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Division algebras	

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Algebraic Cayley differential space-time codes

The first ingredient: linearity

▶ The difficulty in building C such that

$$\det(\mathbf{X}_i - \mathbf{X}_j) \neq 0, \ \mathbf{X}_i \neq \mathbf{X}_j \in \mathcal{C},$$

comes from the *non-linearity* of the determinant. An algebra of matrices is *linear*, so that

$$\det(\mathbf{X}_i - \mathbf{X}_j) = \det(\mathbf{X}_k),$$

 X_k a matrix in the algebra.

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$\mathsf{det}(\mathbf{X}) \neq \mathbf{0}, \ \mathbf{0} \neq \mathbf{X} \in \mathcal{C}.$

or equivalently, such that each $\boldsymbol{0}\neq\boldsymbol{X}\in\mathcal{C}$ is invertible.

- By definition, a *field* is a set such that every (nonzero) element in it is invertible.
- \blacktriangleright Take ${\mathcal C}$ inside an algebra of matrices which is also a field.
- A *division algebra* is a non-commutative field.

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An example of division algebras: cyclic division algebras

• Let
$$\mathbb{Q}(i) = \{a + ib, a, b \in \mathbb{Q}\}.$$

• Let *L* be a vector space of dimension *n* over $\mathbb{Q}(i)$.

► A *cyclic algebra* A is defined as follows

$$\mathcal{A} = \{(x_0, x_1, \ldots, x_{n-1}) \mid x_i \in L\}$$

with basis $\{1, e, \dots, e^{n-1}\}$ and $e^n = \gamma \in \mathbb{Q}(i)$. \blacktriangleright Think of $i^2 = -1$.

Algebraic Cayley differential space-time codes

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Cyclic algebras: how to multiply

- 1. For n = 2, $x \in \mathcal{A}$ can be written $x = x_0 + ex_1$.
- 2. Compute the multiplication by x of any element $y \in A$.

 $xy = (x_0 + ex_1)(y_0 + ey_1)$ = $x_0y_0 + x_0ey_1 + ex_1y_0 + ex_1ey_1$

- 3. The *noncommutativity rule*: $\lambda e = e\sigma(\lambda)$, $\sigma : L \to L$ a "suitable" map .
- 4. So that

$$xy = x_0y_0 + e\sigma(x_0)y_1 + ex_1y_0 + \gamma\sigma(x_1)y_1$$

= $[x_0y_0 + \gamma\sigma(x_1)y_1] + e[\sigma(x_0)y_1 + x_1y_0],$

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Cyclic algebras: matrix formulation

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- 2. In the basis $\{1, e\}$, this yields

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3. There is thus a correspondance

$$x = x_0 + ex_1 \in \mathcal{A} \leftrightarrow \left(egin{array}{cc} x_0 & \gamma \sigma(x_1) \ x_1 & \sigma(x_0) \end{array}
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4. We associate to an element its *multiplication matrix*.

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An involution on the algebra

Choose the matrices A_j to be in a division algebra, so that V_j = (I − iA_j)(I − iA_j) satisfies det(V_i − V_j) ≠ 0.

▶ To satisfy the *Hermitian* condition:

$$\frac{\begin{array}{cccc}
\mathcal{A} & \mathcal{M}_n(L) \\
\overline{x} & \leftrightarrow & \mathbf{X} \\
\alpha(x) & \leftrightarrow & \mathbf{X}^{\dagger} \\
\alpha(x) = x & \leftrightarrow & \mathbf{X}^{\dagger} = \mathbf{X} \\
\alpha(x_0 + ex_1) = \overline{x_0} + e^{-1}\sigma^{-1}(\overline{x_1})
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Example: 2 transmit antennas (I)

- Consider the algebra $\mathcal{A} = (\mathbb{Q}(i, \sqrt{5})/\mathbb{Q}(i), \sigma, i)$, where $\sigma : \sqrt{5} \mapsto -\sqrt{5}$.
- Let $x \in \mathcal{A}$,

$$x = x_0 + ex_1, \ x_0, x_1 \in \mathbb{Q}(i, \sqrt{5}).$$

• We compute $x = \alpha(x)$. Let $\theta = \frac{1+\sqrt{5}}{2}$. Thus, x can be written

$$x = [a_0 + \theta b_0] + e[(s(1 - \theta) - t\theta) + i(t(1 - \theta) - s\theta)],$$

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Example: 2 transmit antennas (II)

In matrix equations

$$\mathbf{X} = a_0 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + s \begin{pmatrix} 0 & 1 - \theta - i\theta \\ i\theta + (1 - \theta) & 0 \end{pmatrix} \\ + b_0 \begin{pmatrix} \theta & 0 \\ 0 & 1 - \theta \end{pmatrix} + t \begin{pmatrix} 0 & -\theta + i(1 - \theta) \\ -i(1 - \theta) - \theta & 0 \end{pmatrix}$$

We thus get a basis of 4 matrices.

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Example: 2 transmit antennas (III)

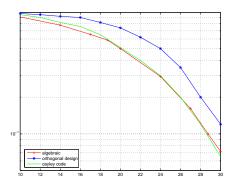


Figure: M = 2, N = 2, R = 6, Q = 4

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Cayley codes

Division algebras

4 transmit antennas

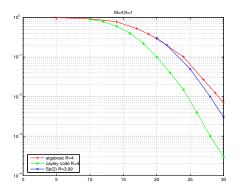


Figure: M = 4, N = 1, R = 4, Q = 8

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Cayley codes

Division algebras

Thank you for your attention!

Algebraic Cayley differential space-time codes

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