



where  $d_{i,j}$ 's are the data symbols. Also denote the support set of the row and column RS codes,  $\mathcal{R}$  and  $\mathcal{C}$ , by  $S_r = \{\alpha_0, \alpha_1, \dots, \alpha_{n_r-1}\} \subset \mathbb{F}_q$  and  $S_c = \{\beta_0, \beta_1, \dots, \beta_{n_c-1}\} \subset \mathbb{F}_q$  respectively. Then a codeword  $\mathbf{p}$  in the RS product code  $\mathcal{P} = \mathcal{R} \times \mathcal{C}$  is  $\mathbf{p} = [\mathbf{p}_{i,j}]$  where  $\mathbf{p}_{i,j} = D(\alpha_i, \beta_j)$  for  $i = 0, \dots, n_r - 1$  and  $j = 0, \dots, n_c - 1$ .

*Proof:* Since the cardinality of the code generated by bivariate polynomial evaluation described above is  $q^{k_r k_c}$ , which is equal to cardinality of  $\mathcal{R} \times \mathcal{C}$ , then it is sufficient to show that the generated code  $\mathcal{P}$  is a subcode of the product code  $\mathcal{R} \times \mathcal{C}$ . Consider a codeword  $\mathbf{p} \in \mathcal{P}$ . The  $r$ th row is equal to  $\mathbf{p}_{r,*} = \{D(\alpha_0, \beta_r), D(\alpha_1, \beta_r), \dots, D(\alpha_{n_r-1}, \beta_r)\}$  where

$$\begin{aligned} D(\alpha_c, \beta_r) &= \sum_{i=0}^{v_r} \sum_{j=0}^{v_c} d_{i,j}(\alpha_c)^i (\beta_r)^j \\ &= \sum_{i=0}^{v_r} \left( \sum_{j=0}^{v_c} d_{i,j}(\beta_r)^j \right) (\alpha_c)^i. \end{aligned} \quad (1)$$

Define  $\gamma_{r,s} = \sum_{j=0}^{v_c} d_{s,j}(\beta_r)^j$  and the univariate polynomial  $D'_r(X) = \sum_{i=0}^{v_r} \gamma_{r,i} X^i$ . It is then easy to see that  $\mathbf{p}_{r,*}$  can be generated by evaluating the modified data polynomial  $D'_r(X)$  at the support set  $S_r$ ;  $\mathbf{p}_{r,*} = \{D'(\alpha_0), D'(\alpha_1), \dots, D'(\alpha_{n_r-1})\}$ . This proves that  $\mathbf{p}_{r,*} \in \mathcal{R}$ .

Similarly, any column  $c$  can be generated by evaluating the modified data polynomial  $D''(X) = \sum_{j=0}^{v_c} \delta_{c,j} X^j$  at the support set  $S_c$ ;  $\mathbf{p}_{*,c} = \{D''(\beta_0), D''(\beta_1), \dots, D''(\beta_{n_c-1})\}$ , where  $\delta_{c,j} = \sum_{i=0}^{v_r} d_{i,j}(\alpha_c)^i$ . Thus each column is a codeword in  $\mathcal{C}$ .

Since each row is a codeword in  $\mathcal{R}$  and each column is a codeword in  $\mathcal{C}$ , then  $\mathcal{P}$  is a subcode of  $\mathcal{R} \times \mathcal{C}$ .  $\blacksquare$

In summary, an RS product code is defined as

$$\begin{aligned} \mathcal{P}(S_r, S_c, v_r, v_c, q) &= \{D(\alpha_i, \beta_j) : D \in \mathbb{F}_q[X, Y], \\ &\alpha_i \in S_r, \beta_j \in S_c, \deg_X D < v_r + 1 \text{ and } \deg_Y D < v_c + 1\} \end{aligned}$$

It is easy to confirm that the minimum distance of  $\mathcal{P}$  is indeed  $d_r d_c$ . From the above proof we have, each row is generated by  $D'_r(X) = \sum_{i=0}^{v_r} \gamma_{r,i} X^i$ . Since this univariate polynomial has at most  $v_r$  zeros, it will evaluate to at least  $n_r - v_r$  non-zero values if it is non-zero. This means that at least  $n_r - v_r$  columns are nonzero. Each of these columns are evaluated by the polynomial  $D''(X)$ . Thus each of these nonzero columns have at least  $n_c - v_c$  non-zero positions. Thus if  $\mathbf{p}$  is nonzero the number of the nonzero elements in  $\mathbf{p}$  is at least  $(n_r - v_r)(n_c - v_c)$  which is  $d_r d_c$ .

**Corollary 2.** *The number of distinct zeros of the bivariate polynomial  $D(X, Y) = \sum_{i=0}^{v_r} \sum_{j=0}^{v_c} d_{i,j} X^i Y^j$  is at most  $n_r v_c + n_c v_r - v_c v_r$  if  $v_r < n_r$  and  $v_c < n_c$ .*

The  $(w_x, w_y)$  weighted degree of  $D(X, Y)$  is given by

$$\begin{aligned} \text{wdeg}_{w_x, w_y} D(X, Y) &\stackrel{\text{def}}{=} \\ \max\{i w_x + j w_y : D(X, Y) = \sum_{i,j} d_{i,j} X^i Y^j, d_{i,j} \neq 0\}. \end{aligned}$$

This definition can also be extended for multivariate polynomials.

**Theorem 3.** *The number of zeros (counting with multiplicities) of the nonzero bivariate polynomial  $D(X, Y)$  evaluated over  $S_r \times S_c$ , where  $|S_r| = n_r$  and  $|S_c| = n_c$ , is at most  $\text{wdeg}_{n_r, n_c} D(X, Y)$ .*

*Proof:* Let  $v_c = \deg_Y D(X, Y)$  and  $v_r = \deg_X D(X, Y)$ . For any  $\alpha \in \mathbb{F}_q$ ,  $D(\alpha, Y)$  is either the all zero polynomial or a polynomial in  $Y$  with maximum degree  $v_c$ . Define  $\mathcal{G} \triangleq \{\gamma : (X - \gamma) | D(X, Y)\}$ . Assuming that for each  $\gamma_i \in \mathcal{G}$ ,  $m_i$  is the largest integer that  $(X - \gamma_i)^{m_i}$  divides  $D(X, Y)$  then we can rewrite  $D(X, Y)$  as follows

$$D(X, Y) = \left( \prod_{i=1}^{\ell} (X - \gamma_i)^{m_i} \right) D'(X, Y)$$

where  $D'(\alpha, Y)$  is a non zero polynomial for any  $\alpha \in S_r$  and  $\deg_Y D'(X, Y) = v_c$ .

For any  $\alpha \notin \mathcal{G}$ ,  $D(\alpha, Y)$  is nonzero so it has at most  $v_c$  many zeros. For any  $\alpha = \gamma_i \in \mathcal{G}$ , let assume that  $D'(\gamma_i, Y)$  is zero at  $\{\beta_1, \beta_2, \dots, \beta_u\}$  with multiplicity  $\{r_1, r_2, \dots, r_u\}$ , respectively. Then the number of zeros of  $D(\gamma_i, Y)$  counting with multiplicity over  $S_r \times S_c$  is

$$\sum_{j=1}^u (m_i + r_j) + (n_c - u)m_i \leq u m_i + v_c + (n_c - u)m_i$$

The term  $(n_c - u)m_i$  is the contribution of the points that  $D'(\gamma_i, \beta)$  is not zero. Also notice that  $\sum_j r_j \leq v_c$ . So, in total for all  $\alpha \in \mathcal{G}$  we have

$$\sum_{i=1}^{\ell} (v_c + n_c m_i) \leq \ell v_c + n_c v_r$$

many zeros. Here we have used the facts that  $\sum_i m_i \leq v_r$ . Thus, total number of the zeros is upper bounded by  $(n_r - \ell)v_c + \ell v_c + n_c v_r$  which is equal to  $n_r v_c + v_c n_r$  and it is equal to  $\text{wdeg}_{n_r, n_c} D(X, Y)$ .  $\blacksquare$

### III. ERROR CORRECTION ALGORITHMS

We know that half the distance bound for the RS product code RS is given by

$$\begin{aligned} \frac{1/2 d_p}{n_p} &\approx \frac{(1 - R_c)(1 - R_r)}{2} \\ &= \frac{1 - (R_c + R_r - R_c R_r)}{2} \\ &\leq \frac{1}{2} - \sqrt{R_c + R_r - R_c R_r} \\ &\leq \frac{1}{2} - \sqrt[4]{4R_p} \sqrt{1 - \frac{\sqrt{R_p}}{2}}, \end{aligned} \quad (2)$$

where the inequalities follow from the arithmetic and geometric mean inequality. We use this later for comparing the results of different decoding algorithms.

### A. Generalizing the Guruswami-Sudan Algorithm

Using the observation in Theorem 1, we devise an algorithm for decoding Reed-Solomon product codes by generalizing the Guruswami-Sudan [6] algorithm. Assume that the Reed-Solomon product code  $\mathcal{P} = \mathcal{R} \times \mathcal{C}$  is defined as in Theorem 1. The received word is  $\mathbf{y} = [y_{i,j}]$ , for  $i = 1, 2, \dots, n_r$  and  $j = 1, 2, \dots, n_c$ , given that the codeword  $\mathbf{p} \in \mathcal{P}$  is transmitted. The Hamming distance between  $\mathbf{y}$  and  $\mathbf{p}$  will be denoted by  $\delta(\mathbf{y}, \mathbf{p})$ .

In order to decode, we first find a trivariate interpolation polynomial  $Q(X, Y, Z) \in \mathbb{F}_q[X, Y, Z]$  that passes through all the  $(\alpha_i, \beta_j, y_{i,j})$  with multiplicity  $m$ . The interpolation polynomial can be found efficiently using the generalized form of the algorithm given in [11] or [7]. Assume that

$$H(X, Y) \triangleq Q(X, Y, D(X, Y)).$$

**Lemma 4.** *Let  $\tau_m = \delta(\mathbf{y}, \mathbf{p})$ . If  $m(n_r n_c - \tau_m) > \mathbf{wdeg}_{n_c, n_r} H(X, Y)$ , then  $(Z - D(X, Y))$  divides  $Q(X, Y, Z)$ .*

*Proof:* For any  $y_{i,j} = p_{i,j}$ , we know  $H(\alpha_i, \beta_j)$  is zero with multiplicity  $m$ , so  $H(X, Y)$  has at least  $m(n_r n_c - \tau_m)$  many zeros on  $S_r \times S_c$ . From Theorem 3, if the number of zeros of  $H(X, Y)$  becomes larger than  $\mathbf{wdeg}_{n_c, n_r} H$ , then  $H(X, Y)$  is equivalent to zero. ■

There are many efficient algorithms that can be used for finding factors of the form  $(Z - f(X, Y))$  out of  $Q(X, Y, Z)$  [12]–[14].

**Lemma 5.** *The  $(n_c, n_r)$ -weighted degree of  $H(X, Y)$  is less than or equal to the  $(n_c, n_r, n_c v_r + n_r v_c)$  weighted degree of  $Q(X, Y, Z)$ .*

*Proof:* Assume that  $X^i Y^j Z^\ell$  is a monomial of  $Q(X, Y, Z)$ . When  $Z$  is substituted by  $D(X, Y)$ , for this monomial we have

$$\begin{aligned} \mathbf{wdeg}_{n_c, n_r} X^i Y^j (D(X, Y))^\ell &\leq \\ \mathbf{wdeg}_{n_c, n_r} X^i Y^j (X^{v_r} Y^{v_c})^\ell &\leq n_c i + n_r j + (n_c v_r + n_r v_c) \ell \\ &= \mathbf{wdeg}_{n_c, n_r, n_c v_r + n_r v_c} X^i Y^j Z^\ell. \end{aligned}$$

Therefore, the lemma is true for a general polynomial. ■

**Lemma 6.** *There exist a nonzero trivariate polynomial  $Q(X, Y, Z) \in \mathbb{F}_q[X, Y, Z]$  such that  $Q(X, Y, Z)$  passes through all the  $(\alpha_i, \beta_j, y_{i,j})$  for  $i = 1, 2, \dots, n_r$ ,  $j = 1, 2, \dots, n_c$  with multiplicity  $m$  and  $\mathbf{wdeg}_{n_c, n_r, n_c v_r + n_r v_c} Q(X, Y, Z) \leq d_m$  where*

$$d_m = \left\lceil m(n_r n_c) \sqrt[3]{(R_r + R_c) \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)} \right\rceil. \quad (3)$$

*Proof:* Following [7], [15], there exists a nonzero polynomial of weighted degree at most  $\Delta$  that passes through all

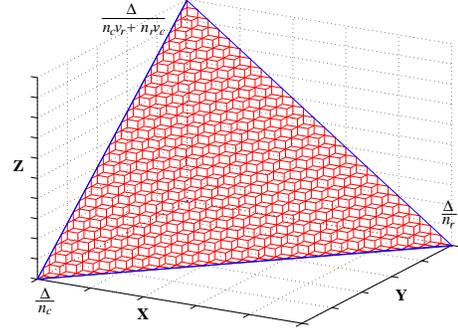


Fig. 1. The number of monomials of maximum weighted degree  $\Delta$  is lower bounded by the volume of this pyramid in  $\mathbb{R}^3$ .

the points  $(\alpha_i, \beta_j, y_{i,j})$  with multiplicity  $m$  if

$$N(\Delta) > n_r n_c \frac{m(m+1)(m+2)}{6}$$

where  $N(\Delta)$  is the number of trivariate monomials with weighted degree at most  $\Delta$ .  $N(\Delta)$  can be lower bounded by the volume of the pyramid in  $\mathbb{R}^3$ , shown in Fig. 1. Thus,

$$N(\Delta) > \frac{1}{6} \frac{\Delta^3}{n_r n_c (n_c v_r + n_r v_c)}$$

This implies the following condition

$$\mathbf{wdeg}_{n_c, n_r, n_c v_r + n_r v_c} Q(X, Y, Z) \leq$$

$$\left\lceil m(n_r n_c) \sqrt[3]{\left(\frac{v_r}{n_r} + \frac{v_c}{n_c}\right) \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)} \right\rceil, \quad (4)$$

and the theorem follows. ■

From Lemmas 4, 5 and 6, one can show the following theorem.

**Theorem 7.** *Assume we transmit a codeword  $\mathbf{p} \in P(S_r, S_c, v_r, v_c, q)$  with row and column component code rates  $R_r$  and  $R_c$  respectively. Let  $\mathbf{y} = [y_{i,j}]$  be the received word. If  $m$  is the interpolation multiplicity, then  $\mathbf{p}$  can be efficiently list decoded from  $\mathbf{y}$  if the Hamming distance between  $\mathbf{y}$  and  $\mathbf{p}$ ,  $\tau_m = \delta(\mathbf{y}, \mathbf{c})$ , is bounded by*

$$\tau_m \leq \left\lceil n_c n_r \left(1 - \sqrt[3]{(R_c + R_r) \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)}\right) - \frac{1}{m} \right\rceil \quad (5)$$

**Corollary 8.** *For an interpolation multiplicity  $m$ , the error correction radius  $\tau_m$  is upper bounded by*

$$\tau_m \leq \left\lceil n_p \left(1 - \sqrt[6]{4R_p} \sqrt[3]{\left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)}\right) - \frac{1}{m} \right\rceil \quad (6)$$

where  $R_p$  and  $n_p$  are the rate and length of the product code, respectively. The upper bound on the decoding radius is maximized when  $R_r$  is equal to  $R_c$ .

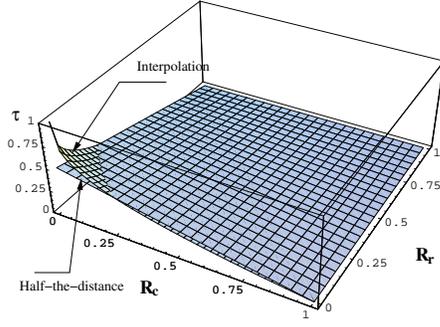


Fig. 2.  $1 - \sqrt[3]{R_c + R_r}$  and the half-the-distance bound.

*Proof:* From the arithmetic and geometric mean inequality,  $R_r + R_c \geq 2\sqrt{R_r R_c}$  with equality if  $R_r = R_c = \sqrt{R_p}$ .

It thus follows that the relative asymptotic decoding radius of the proposed algorithm is

$$\begin{aligned} \frac{\tau}{n_p} &= \lim_{m \rightarrow \infty} \frac{\tau_m}{n_p} < 1 - \sqrt[3]{R_c + R_r} \\ &\leq 1 - \sqrt[6]{4R_p} \end{aligned} \quad (7)$$

**Remark.** When  $m$  is large, the interpolation algorithm is correcting any pattern of errors of cardinality greater than that of half the minimum distance decoder when  $R_c + R_r \leq 0.22$ . cf. Fig 4.

The following theorem shows that the number of candidates on the decoding list of our proposed algorithms does not increase with the code length,  $n_p$ , or the alphabet size,  $q$ .

**Theorem 9.** For interpolating with a fixed multiplicity  $m$ , and for any received word  $\mathbf{y} \in \mathbb{F}_q^{n_p}$ , the candidate list size is upper bounded by

$$L_m < \left\lceil m^3 \sqrt{\frac{1}{4R_p} \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)} \right\rceil + 1. \quad (8)$$

*Proof:* The total number of candidate words on the list, counting plausible and implausible words, is upper bounded by the number of factors of  $Q(X, Y, Z)$  which are of the form  $Z - D(X, Y)$ . This is upper bounded by the  $Z$ -degree of the polynomial  $Q(X, Y, Z)$ . From Fig. 1 and (4), we can see this can be upper bounded by

$$\begin{aligned} L_m &< \frac{\Delta}{n_c v_r + n_r v_c} \\ &\leq m^3 \sqrt{\left(\frac{n_r n_c}{n_c v_r + n_r v_c}\right)^2 \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)} \\ &\approx m^3 \sqrt{\left(\frac{1}{R_c + R_r}\right)^2 \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)} \\ &\leq m^3 \sqrt{\frac{1}{4R_p} \left(1 + \frac{1}{m}\right) \left(1 + \frac{2}{m}\right)}, \end{aligned}$$

where the last inequality follows from  $1/2(R_c + R_r) \geq \sqrt{R_p}$  with equality if  $R_c$  is equal to  $R_p$ .

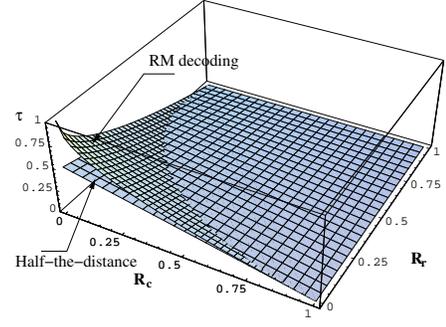


Fig. 3.  $1 - \sqrt{R_c + R_r}$  and the half-the-distance bound

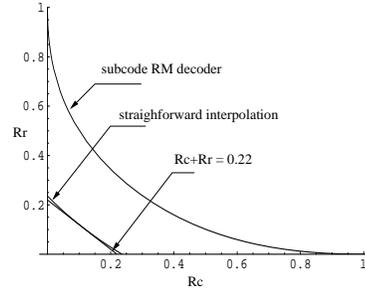


Fig. 4. Rate region that the decoders are better than the half-the-distance bound

It is worth noting that the list size of the Guruswami-Sudan algorithm is bounded by [16] (A smaller list size is preferred.)

$$L_m^{GS} \approx \left(m + \frac{1}{2}\right) \sqrt{\frac{1}{R}}. \quad (9)$$

We will now give a formulation of our generalized GS algorithm:

**Algorithm 1:** Decoding of Product Reed-Solomon Codes. Let  $\mathbf{y} \in \mathbb{F}_q^{n_p}$  be the received word when the codeword  $\mathbf{p} \in \mathcal{P}(S_r, S_c, v_r, v_c, q)$  is transmitted.

- Interpolate a trivariate polynomial  $Q(X, Y, Z)$  such that:
  - $Q(X, Y, Z)$  passes through the points  $(\alpha_i, \beta_j, y_{i,j})$  with multiplicity  $m$ .
  - The  $(n_c, n_r, n_c v_r + n_r v_c)$  weighted degree of  $Q(X, Y, Z)$  is less than  $d_m$  (Lemma 6).
- Factorize  $Q(X, Y, Z)$  into irreducible factors. If  $(Z - D(X, Y)) | Q(X, Y, Z)$ , then  $\mathbf{c} = [D(\alpha_i, \beta_j)]$ , where  $\alpha_i \in S_c$  and  $\beta_j \in S_r$  is added to the list of candidates if
  - $\deg_X D(X, Y) \leq v_r$  and  $\deg_Y D(X, Y) \leq v_c$
  - $\delta(\mathbf{c}, \mathbf{p}) \leq \tau_m$  (Theorem 7).

### B. Subcode of a Reed-Muller code

Let the set of polynomials  $\mathcal{P}_{RS}$  be the set of bivariate polynomials with  $X$ -degree smaller than or equal to  $v_r$  and  $Y$ -degree smaller than or equal to  $v_c$ . Evaluation of polynomials in  $\mathcal{P}_{RS}$  on the elements of  $\mathbb{F}_q^2$  gives the RS product code. Now assume that  $\mathcal{P}_{RM}$  is the set of bivariate polynomials with total degree smaller than or equal to  $v_r + v_c$ . Evaluation of polynomials of  $\mathcal{P}_{RM}$  over  $\mathbb{F}_q^2$  gives a Reed-Muller code,

$RM_q(v_c + v_r, 2)$ . It is simple to see that  $\mathcal{P}_{RS} \subseteq \mathcal{P}_{RM}$  or the RS product code is the subset of the Reed-Muller code. Therefore, any algorithm for decoding of the RM code can be used for decoding of RS product code. From [17], [18] we know that the  $RM_q(v_c + v_r, 2)$  is a subfield-subcode of a generalized Reed-Solomon code over  $\mathbb{F}_{q^2}$ . Thus, by decoding the generalized Reed-Solomon code using the Guruswami-Sudan algorithm [6] basically we can decode the RS product code.

**Theorem 10.** [17] Assume that  $d$  is the minimum distance of  $q$ -ary Reed-Muller code  $RM_q(v_c + v_r, 2)$  of length  $n$ , then we can efficiently decode the Reed-Muller code if number of errors is smaller than

$$t < n \left( 1 - \sqrt{1 - \frac{d}{n}} \right). \quad (10)$$

**Corollary 11.** Assume that the RS product code is defined over  $\mathbb{F}_q$ . If  $n_c = n_r = q$  and  $R_c + R_r < 1$  then the decoding radius of the algorithm is equal to

$$\tau < q^2 \left( 1 - \sqrt{R_c + R_r} \right). \quad (11)$$

*Proof:* When  $R_c + R_r < 1$  then the minimum distance of  $RM_q(v_c + v_r, 2)$  is equal to  $d = (q - v_c - v_r)q$  and its length is  $q^2$ . Then (11) follow from (10). ■

The RS product code  $\mathcal{P}(S_r, S_c, v_r, v_c, q)$  with  $|S_r| = n_r$  and  $|S_c| = n_c$  is a subcode of a (punctured) GRS of length  $n_r n_c$  and minimum distance  $d = (q - v_c - v_r)q$ . This implies that it can be decoded using bivariate interpolation and factorization such that the asymptotic relative error capability is given by

$$\frac{\tau}{n_p} \leq \left( 1 - \sqrt{1 - \frac{q(q - v_c - v_r)}{n_r n_c}} \right) \quad (12)$$

$$\approx \left( 1 - \sqrt{\frac{q}{n_r} R_c + \frac{q}{n_c} R_r - \frac{q^2}{n_c n_r} + 1} \right). \quad (13)$$

In general one can say, that using bivariate interpolation, the asymptotic relative decoding radius is bounded by

$$\frac{\tau}{n_p} \leq 1 - \sqrt[4]{4R_p}. \quad (14)$$

Recall that half the minimum distance of the product code is upper bounded by  $\frac{1/2 d_p}{n_p} \leq 1/2 - \sqrt{R_c + R_r - R_c R_r}$ . This implies that an algorithm with an asymptotic relative decoding radius  $1 - \sqrt{R_c + R_r - R_c R_r}$  will always decode beyond half the minimum distance of the code for any pattern of errors and rates  $R_r$  and  $R_c$  (cf. Fig. 5). One can see that such an algorithm exists if it is true that the RS product code  $\mathcal{P}(S_r, S_c, v_r, v_c, q)$  is a subfield-subcode of the a GRS code over  $\mathbb{F}_{q^2}$  with the same minimum distance of the product code,  $(n_r - v_r)(n_c - v_c)$ , length  $n_r, n_c$  and dimension  $n_r v_c + n_c v_r - v_r v_c + 1$ . Existence of such a GRS and efficiently finding it remains open.

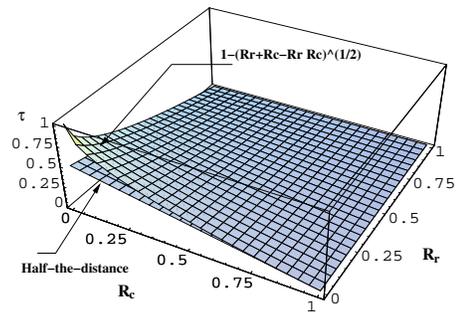


Fig. 5.  $1 - \sqrt{R_c + R_r - R_c R_r}$  and the half-the-distance bound

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